

# DRAFT Audit Committee Work Programme 2016-17

Key: One-off Items

Topic	Monday 27.06.16 at 10.30am (CR4)	Wednesday 19.09.16 (Workshop 12.45-1.45pm) at 2pm (CR4)	Tuesday 29.11.16 at 2pm (CR4)	Tuesday 24.01.17 at 2pm (CR4)	Tuesday 28.03.17 at 2pm (CR4)	Tuesday 20.06.17 at 2pm (CR4)
<b>Wales Audit Office</b>	Corporate Assessment Update	Corporate Assessment Update	Corporate Assessment Update	Corporate Assessment Update	Corporate Assessment Update	Corporate Assessment Update
					Annual Financial Audit Outline (to include revised statement of responsibilities)	Annual Improvement Report
	Regulatory Programme Letter/ Update Performance Audit Work & Fees 2016-17	Audit of Financial Statement Report (ISA260)				Regulatory Prog. Update & Fee information '17-18
		Annual Improvement Report			Cardiff & Vale Pension Fund Audit Plan	
	Report Progress Updates	Report Progress Updates	Report Progress Updates	Report Progress Updates	Report Progress Updates	Report Progress Updates
<b>Treasury Management</b>	Performance Report	Performance Report	Performance Report	Performance Report	Performance Report	Performance Report
		Annual Report	Half Year Report	Strategy '17-18	Treasury Mgmt Practices	
<b>Finance</b>	Financial Update & Financial Resilience Update	Financial Update & Financial Resilience Update	Financial Update & Financial Resilience Update	Financial Update & Financial Resilience Update	Financial Update & Financial Resilience Update	Financial Update & Financial Resilience Update
	Draft Statement of Accounts for '15-16 (including the AGS)				Draft Statement of Accounts/AGS & report any changes in accounting policy	Draft Statement of Accounts '15-16 (including the AGS)
		Annual Report Statement of Accounts & Audit of Statements Report				
		Audit of the Financial Stat't Report – Cardiff & Vale of Glamorgan Pension Fund				
	WAO Tracker/Other Studies (VP)	WAO Tracker/Other Studies (VP)	WAO Tracker/Other Studies (VP)	WAO Tracker/Other Studies (VP)	WAO Tracker/Other Studies (VP)	WAO Tracker/Other Studies (VP)
<b>Internal Audit</b>		Progress update (to include Benchmarking & Comparative Data Matching)	Half Yearly Progress Report	Progress Update	Progress Update	Progress Update
	Internal Audit Annual Report '15-16	Progress Update (Highways Audit Feedback)			Audit Strategy '17-18	Internal Audit Annual Report '15-16
		VFM Exercise – Supply Teachers				
<b>Governance and Risk Management</b>	Audit Committee Annual Rep. '15-16			Audit Committee Annual Report Discussion	Audit Committee Draft Annual Rep. '16-17	Audit Committee Annual Rep. '16-17
	Senior Management Assurance Statement Review – Feedback			Senior Management Assurance Statement Review	Draft Annual Governance Statement	Senior Management Assurance Statement Review - Feedback
				AGS '16-17 Action Plan (Mid-Year)		
	Corporate Risk Register (Year-End)		Corporate Risk Register (Mid-Year)			Corporate Risk Register (Year-End)
		Audit /Scrutiny Protocol	Self-Assessment Feedback/Action Plan	Audit Committee Annual Self-Assessment Review '15-16	Annual Self-Assessment Review '15-16	
			Audit Committee Annual Self-Assessment Review '15-16		Audit Committee Self-Assessment Feedback/Action Plan	
<b>Operational matters / Key risks</b>		Director of Education - Annual Report on Governance	Wellbeing & Future Generations – Monitor Impact & Risks	Building Maintenance Framework		

		(including Balances) & Deficits in Schools				
		Chief Executive – Alignment between the ODP and Medium Term Financial Plan				